

PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031

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Application Number 10/015,355 TRANSMITTAL December 12, 2001 Filing Date **FORM** Michael D. Hooven **First Named Inventor** Group Art Unit 3739 (to be used for all correspondence after initial filing) Rosiland S. Kearney **Examiner Name** (HOOV 113) 0320-0013 Attorney Docket Number Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Licensing-related Papers Appeal Communication to Group Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Second Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request identify below): Terminal Disclaimer Certificate of Mailing **Express Abandonment Request** Request for Refund - Return Receipt Poscard Х Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Document(s) Remarks By U.S. Postal Service Express Mail Label No. EV 221745555 Response to Missing Parts/ Incomplete Application Label No. EV 321715258 US **DEC 1 0** 2003 Response to Missing Parts under 37 CFR 1.52 or 1.53 TECHNOLOGY CENTER R3700 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Cook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd. Gary W. McFarron, Esq. (Reg. No. 27,357) Individual name Signature Date Dedember **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as ANN MESS. mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

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Date

Typed or printed name

Signature

PATENT Attorney Docket No. 0320-0013 (HOOV 113)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of: Michael D. Hooven Serial No.: 10/015,355 Filed: December 12, 2001 Group Art No.: 3739 Examiner: Rosiland S. Kearney

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- Transmittal Form PTO/SB/21 (1 sheet); 1.
- Sixth Supplemental Information Disclosure Statement (in duplicate);
- Information Disclosure Statement PTO/SB/08A (3 sheets); 3.
- 4. Certificate of Mailing (1 sheet); and
- 5. Return Receipt Postcard.

Name: ____ May I. Casimiro

Signature:



#18IDS 12/11/03 PATENT

Attorney Docket No. (0320-0013) HOOV 113

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:
Michael D. Hooven
Serial No.: 10/015,355
Filed: December 12, 2001
Group Art No.: 3739
Examiner: Rosiland S. Kearney
For: TRANSMURAL ABLATION DEVICE WITH GOLD-PLATED COPPER

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NAME May I. Casimiro
SIGNATURE Kay S. Casionis

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TECHNOLOGY CENTER R3700

SIXTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.97, Applicant hereby calls the Examiner's attention to documents listed on the attached form, which documents may be material to the examination of this application. A copy of each of the documents is provided in U.S. Patent Application Serial No. 10/032,378, which is relied upon for an earlier effective filing date of the present application under 35 U.S.C. 120, and accordingly is not enclosed.

No inference should be drawn that any method disclosed is equivalent to the subject invention. Also, the citation of the above-discussed documents is not to be construed as an assertion that more pertinent art could not possibly be in existence.

Citation of any document herein is not to be construed as an admission that any subject matter disclosed in the document is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of those documents discussed herein, that may be cited by the Examiner in rejecting a claim in the present patent application.

This Sixth Supplemental Information Disclosure Statement is being filed either before the mailing of the first office action or after the mailing date of a first Office Action on the merits but before a final action or an action that closes prosecution. The cited information was first called to Applicant's attention either in an Office Action dated November 3, 2003 for a related application or in the results of a search of United States patents received not before October 27, 2003. Accordingly, pursuant to 37 C.F.R. § 1.97(e)(2), it is hereby stated: no item of this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the best knowledge of the person signing this certification after making a reasonable inquiry, no item of information contained

in this information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to filing of this information disclosure statement. Consequently, as provided in 37 C.F.R. § 1.97(c), a fee under §1.17(p) is not required for the filling of this information disclosure. However, if it is determined that an additional fee is required, then authorization is hereby granted to charge Deposit Account 50-1039 any additional fee required.

(A duplicate of this document is enclosed herewith.)

Respectfully submitted,

Date: December 4, 2003

Registration No. 27,357

Cook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd. 200 West Adams St., Suite 2850 Chicago, IL 60606 Telephone: (312) 236-8500

Attorneys for Applicant

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Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Complete if Known					
Application Number	10/015,355				
Filing Date	December 12, 2001				
First Named Inventor	Michael D. Hooven				
Art Unit	3739				
Examiner Name	Rosiland S. Kearney				
Attorney Docket Number	0320-0013 (HOOV 113)				

				DOCUMENTS
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (d known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document Pages, Columns, Lines, When Relevant Passages or Relevan Figures Appear
	1	US- 5,323,781	06-28-1994	Ideker et al.
	2	^{US-} 5,500,012	03-19-1996	Brucker et al.
	3	^{US-} 5,876,398	03-02-1999	Mulier et al.
	4	^{US-} 5,891,138	04-06-1999	Tu et al.
	5	^{US-} 5,897,554	04-27-1999	Chia et al.
	6	^{US-} 6,033,402	03-07-2000	Tu et al.
	7	^{US-} 6,064,902	05-16-2000	Haissaguerre et al.
	8	^{US-} 6,068,653	05-30-2000	LaFontaine
	9	^{US-} 6,113,595	09-05-2000	Muntermann
	10	^{US-} 6,117,101	09-12-2000	Diederich et al.
	11	^{US-} 6,123,703	09-26-2000	Tu et al.
	12	^{US-} 6,142,994	11-7-2000	Swanson et al.
	13	^{US-} 6,156,033	12-05-2000	Tu et al. RECFI/F
	14	^{US-} 6,193,713	02-27-2001	Geistert et al.
	15	^{US-} 6,292,678	09-18-2001	Hall et al. DEC 1 0 2003
	16	^{US-} 6,356,790	03-12-2002	Maguire et al.
	17	^{US-} 6,447,507	09-10-2002	Bednarek et al. TECHNOLOGY CENTER R3700
	18	^{US-} 6,474,340	11-05-2002	Vaska et al.
	19	^{US-} 6,488,678	12-03-2002	Sherman

•	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹			Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶		
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Examiner	Date	
Signature	Considered	

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Sheet 2

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Application Number	10/015,355			
Filing Date	December 12, 2001			
First Named Inventor	Michael D. Hooven			
Art Unit	3739			
Examiner Name	Rosiland S. Kearney			
Attorney Docket Number	0320-0013 (HOOV 113)			

			U. S. PATEN	DOCUMENTS
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	20	^{US-} 6,506,189	01-14-2003	Rittman, III et al.
	21	^{US-} 6,575,969	05-10-2003	Rittman, III et al.
	22	US- 6,610,055	08-26-2003	Swanson et al.
	23	US- 6,632,222	10-14-2003	Edwards et al.
	24	^{US-} 6,334,860	01-01-2002	Dorn
	25	^{US-} 2002/0002329 A1	01-03-2002	Avitall
•	26	^{US-} 2002/0019629 A1	02-14-2002	Dietz et al.
	27	US- 2002/0115990 A1	08-22-2002	Acker
	28	US- 2002/0128643 A1	09-12-2002	Simpson et al.
-	29	^{US-} 2002/0082595 A1	06-27-2002	Langberg et al.
	30	^{US-} 2002/0099364 A1	07-25-2002	Lalonde
	31	^{US-} 2003/0009094 A1	01-09-2003	Segner et al.
	32	US- 2003/0028187 A1	02-06-2003	Vaska et al.
	33	^{US-} 2003/0060822 A1	03-27-2003	Schaer et al. DECENTER
	34	US- 2003/0069572 A1	04-10-2003	Wellman et al.
	35	US- 2003/0069577 A1	04-10-2003	Vaska et al.
	36	^{US-} 2003/0078570 A1	04-24-2003	Heiner et al
	37	^{US-} 2003/0078574 A1	04-24-2003	Hall et al. TECHNOLOGY CENTER H3700
	38	US- 2003/0093104 A1	05-15-2003	Bonner et al.

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹		Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶		
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Examiner Signature	Date Considered	

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Sheet 3

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		Number-Kind Code ^{2 (if known)}		 	riguies Appear
	39	^{US-} 2003/0097124 A1	05-22-2003	Lehmann et al.	
	40	^{US-} 2003/0100895 A1	05-29-2003	Simpson et al.	
	41	^{US-} 2003/0114844 A1	06-19-2003	Ormsby et al.	
•	42	^{US-} 2003/0120268 A1	06-26-2003	Bertolero et al.	
	43	^{US-} 2003/0125726 A1	07-03-2003	Maguire et al.	
	44	^{US-} 2003/0125730 A1	07-03-2003	Berube et al.	
	45	^{US-} 2003/0130598 A1	07-10-2003	Manning et al.	
	46	^{US-} 2003/0135207 A1	07-17-2003	Langberg et al.	
	47	^{US-} 2003/0144657 A1	07-31-2003	Bowe et al.	
	48	^{US-} 2003/0158548 A1	08-21-2003	Phan et al.	
	49	^{US-} 2003/0171745 A1	09-11-2003	Francischelli et al.	
	50	^{US-} 2003/0178032	9-25-2003	Ingle et al.	
	51	^{US-} 2003/0050557	03-13-2003	Susil et al. RECFI	ハア
	52	^{US-} 5,125,928	06-30-1992	Parins et al.	
	53	us- 6,332,089	12-18-2001	Acker et al. DEC 1 0	2003
	54	^{US-} 6,540,740	04-01-2003	Lehmann et al.	
	55	^{US-} 2002/0052602 A1	05-02-2002	Wang et al. TECHNOLOGY CEN	TEH H3700
	56	^{US-} 2003/0045871 A1	03-06-2003	Jain et al.	,
		US-			

FOREIGN PATENT DOCUMENTS									
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